

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: D. J. Madar, et al.

Serial No.: 10/659,860

Filed: September 11, 2003

For: Pharmaceutical Compositions as Inhibitors of

Dipeptidyl Peptidase-IV (DPP-IV)

Examiner: (Not assigned yet)

Group Art Unit: 1614

Case No.: 6979.US.O2

Date: April 6, 2004

Certificate of Mailing under 37 CFR §1.8(a): I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to:

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Date of Deposit: April 19, 2004

Wanda E. Smith

Date

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is the Information Disclosure Statement of D. J. Madar, et al., for Pharmaceutical Compositions as Inhibitors of Dipeptidyl Peptidase-IV (DPP-IV), filed September 19, 2002.

Also enclosed are:

USPTO Form 1449 - 1 page (in duplicate)

Copy of eight (8) references with one translation

Return-receipt Postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.16, as well as any patent application processing fees under 37 CFR 1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

23492

ABBOTT LABORATORIES

Telephone: (847) 936-3362 Facsimile: (847) 938-2623 Respectfully submitted,

D. J. Madar, et al

Christopher P. Rogers/ Registration No. 36,334 Attorney for Applicants

APR 2 2 2004 Appl

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Wanda E. Smith

Date

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The following information is submitted pursuant to 37 C.F.R. §§ 1.97 and 1.98 in accordance with applicants' duty of disclosure under 37 C.F. R. §1.56. This submission is not an admission that the documents cited herein are prior art as to the invention claimed.

The references submitted are listed on the attached Form PTO-1449, and a copy of each is enclosed. Review is respectfully requested, and the Examiner is asked to place his/her initials next to each reference listed on the form to indicate that the reference was considered.

Because this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the application, it is believed that under 37 C.F.R. §1.97(b), no fee is due in connection herewith.

23492

ABBOTT LABORATORIES

Telephone: (847) 936-3362 Facsimile: (847) 938-2623 Respectfully submitted,

D. J. Madar, et a

Christopher P. Rogers
Registration No. 36,334
Attorney for Applicants

DATE:

April 7, 2004

SHEET _1_ of _1_

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.	
156	6979.USO2	10/659,960	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	D. J. Madar, et al.		
(Use several sheets if necessary)	9/11/03	1614	

U.S.PATENT DOCUMENTS

Examiner Initials		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A1	6,395,767	05/28/02	Robl,et al.			
	A2	6,011,155	01/04/02	Villhauer			
	A3						
	A4		T			,	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLIC- ATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS		ION NO
B1	01/34594	17.05.2001	wo				
B2	01/68603	20.09.2001	WO				
B3	04/016587	26.02.04	WO (translation included)			X	

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

EXAMINER		DATE CONSIDERED
	C3	Ohnuma, K., "Soluble CD26/Dipeptidyl Peptidase IV Induces T Cell Proliferation through CD86 Up-Regulation on APCs ¹ ", J. of Immunology, Vol. 167, No. 12, 2001, pp 6745-6755
	C2	Deacon, C. F., "Dipeptidyl Peptidase IV Inhibition Potentiates the Insulinotropic Effect of Glucagon-Like Peptide 1 in the Anesthetized Pig", Diabetes, Vol. 47, May 1998, pp 764-769
	C1	Ahren, B., "Inhibition of Dipeptidyl Peptidase IV Improves Metabolic Control over a 4-Week Study Period in Type 2 Diabetes", Diabetes Care, Vol. 25, No. 5, May 2002, pp 869-875

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)